(Unofficial Translation) Kingdom of Cambodia Nation Religion King

Cambodia Securities Exchange

Phnom Penh, 07 August, 2018

Press Release CSX's Top Member Performance Ranking for the First Half of 2018

By June 2018, statistics of Cambodia Securities Exchange (CSX) showed top 3 outstanding performers of CSX's member firms, also known as securities companies, whose main role is facilitating investors' trading of securities in CSX. Performance of the members was assessed based on two main criteria as follows:

A. Total number of trading accounts as of semester one of 2018

B. Total trading value for semester one of 2018 (NOTE: the total trading value includes trading through client account, own account and LP account.)



The member who had the highest number of trading accounts was ACLEDA Securities Plc. (ACS), having a total of 3,577 (36.16%) trading accounts by the end of the period, followed by Phnom Penh Securities Plc. (PPS) and Cana Securities Ltd. (CNS), with 2,066 (20.89%) and 1,010 (10.21%) trading accounts, respectively. Meanwhile, the other 7 firms combined made up a sum of 3,233 (32.68%) trading accounts out of 9,891 in total by the end of June 2018. In terms of trading value between January and June, SBI Royal Securities Plc. (SBI) secured the first place, generating roughly 2.82 billion riels of stocks traded (33.50%), while Yuanta Securities (Cambodia) Plc. (YSC) made it to around 2.09 billion riels (24.78%), and CNS 1.44 billion riels (17.14%), standing at the second and third places.

So far, member performance assessment has been made accordingly to the two basic indicators above. Now with the aim to encourage more vigorous participation from the member firms in further developing the market, CSX is preparing certain criteria to evaluate

the performance of the firms, along with creating more quarterly performance rankings to be introduced later this year based on such indicators as new account openings, total trading value by firm-owned accounts and client accounts, number of listed companies and potential listed companies, and particularly the best member performer (assessing all the performance indicators) which is the most important performance ranking.

List of CSX's Members	
ACLEDA Securities Plc.	Golden Fortune (Cambodia) Securities Plc.
CAB Securities Limited.	Phnom Penh Securities Plc.
Cambodia - Vietnam Securities Plc.	RHB Indochina Securities Plc.
Campu Securities Plc.	SBI Royal Securities Plc.
CANA Securities Ltd.	Yuanta Securities (Cambodia) Plc.

NOTE: - Securities firms refer to firms licensed by the Securities and Exchange Commission of Cambodia (SECC) to carry out securities business in Cambodia. There are 3 types of licenses:

- 1. Securities Broker: buying and selling securities on behalf of and by orders of its clients for commission fee
- 2. Securities Dealer: buying and selling securities for its own account and for clients' accounts
- 3. Securities Underwriter: evaluate and underwrite securities undergoing public offering, and can buy and sell securities for its own account and for clients' accounts.
- Member firms are securities firms registering to use CSX's system for securities trading for their own or on behalf of clients.
- *Trading account* is used to trade securities such as stocks. Anyone who wants to start trading has to go to one of the member firms to open a trading account. Then, buy and sell orders can be placed through two channels:
 - 1. giving order instructions through the member firm; or
 - 2. using Mobile Trading System (MTS) account, which can be activated for use when investors already have a trading account, to place orders directly.

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